


FORM LM-3 LABOR ORGANIZATION ANNUAL REPORT

FOR USE BY LABOR ORGANIZATIONS WITH LESS THAN \$200,000 IN TOTAL ANNUAL RECEIPTS

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

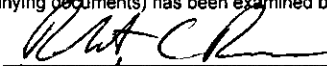
For Official Use Only  E	1. FILE NUMBER 0 3 3 - 8 4 2	2. PERIOD COVERED MO DAY YEAR From 0 7 0 1 2 0 0 1 Through 0 6 3 0 2 0 0 2	3. (a) AMENDED — If this is an amended report correcting a previously filed report, check here: <input type="checkbox"/> (b) TERMINAL — If your organization ceased to exist and this is its terminal report, see Section XII of the instructions and check here: <input type="checkbox"/> (c) SUBSIDIARY — If this is a report for a subsidiary organization of your union as defined in Section X of the instructions, check here: <input type="checkbox"/>
	8. MAILING ADDRESS First Name C R A I G Last Name B A Y S T O N P.O. Box • Building and Room Number (if any) Number and Street 1 0 1 2 N . F I F T H A V E N U E City K A N K A K E E State ZIP Code + 4 I L 6 0 9 0 1 -		
4. AFFILIATION OR ORGANIZATION NAME CARPENTERS IND			
5. DESIGNATION (Local, Lodge, etc.) LU		6. DESIGNATION NUMBER 496	
7. UNIT NAME (if any)			
9. Are your organization's records kept at its mailing address? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> (If "No," provide address in Item 56.)			

56. ADDITIONAL INFORMATION

Item Number	
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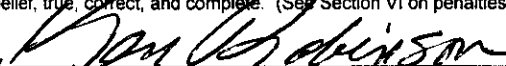
Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See Section VI on penalties in the instructions.)

57. SIGNED:



PRESIDENT

58. SIGNED:



TREASURER

9/26/02 (815) 933-5041

Date

Telephone Number

9/10/02 (815) 933-5041

Date

Telephone Number

(If other title, see instructions.)



During the Reporting Period Did Your Organization:

10. Have a "subsidiary organization" as defined in Section X of the instructions? ☐ Yes ☒ No
11. Create or participate in the administration of a trust or other fund or organization, as defined in the instructions, which provides benefits for members or their beneficiaries? ☐ Yes ☒ No
12. Have a political action committee (PAC) fund? ☒ Yes ☐ No
13. Acquire or dispose of any goods or property in any manner other than by purchase or sale? ☒ Yes ☐ No
14. Have an audit or review of its books and records by an outside accountant or by a parent body auditor/representative? ☒ Yes ☐ No
15. Discover any loss or shortage of funds or other property? ☐ Yes ☒ No
(Answer "Yes" even if there has been repayment or recovery.)
16. Have any officer who was paid \$10,000 or more by your organization and also received \$10,000 or more as an officer or employee of another labor organization or of an employee benefit plan? ☐ Yes ☒ No
17. Pay any employee salary, allowances, and other expenses which, together with any payments from affiliates, totaled more than \$10,000? ☒ Yes ☐ No
18. Have loans totaling more than \$250 to any officer, employee, or member, or make any loans to a business enterprise? ☐ Yes ☒ No

(If the answer to any of the above questions is "Yes," provide details in Item 56 as explained in the instructions for each item.)

19. How many members did your organization have at the end of the reporting period?

20. What is the maximum amount recoverable under your organization's fidelity bond for a loss caused by any officer or employee of your organization? \$

21. During the reporting period, did your organization have any changes in its constitution and bylaws (other than rates of dues and fees) or in practices/procedures listed in the instructions? ☐ Yes ☒ No
(If the constitution and bylaws or practices/procedures have changed, see the instructions.)

22. What is the date of your organization's next regular election of officers? MO YEAR

23. What are your organization's rates of dues and fees?
(Enter a minimum and maximum if more than one rate applies for any line.)

Rates of Dues and Fees		
(a) Regular Dues/Fees	\$ <input type="text" value="8.00-25.00"/> per <input type="text" value="MONTH"/>	(Month, Year, etc.)
(b) Initiation Fees	\$ <input type="text" value="125.00-425.00"/>	
(c) Transfer Fees	\$ <input type="text" value="N/A"/>	
(d) Work Permits	\$ <input type="text" value="N/A"/> per <input type="text" value="N/A"/>	(Month, Year, etc.)

24. ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

Enter Amounts in Dollars Only - Do Not Enter Cents

FILE NUMBER: 0 3 3 - 8 4 2

	(A) Name (List all persons who held office during the reporting period even if they received no salary or other disbursements. Use all capital letters.)		Gross Salary (before taxes and other deductions) (D)	Allowances and Other Disbursements (E)	Total (F)		
	(B) Title (Enter title of officer, such as PRESIDENT or TREASURER.)	Status (C)*					
1.	GLENN FINANCIAL SECRETARY	JACK C	5 4 6 8	4 4 3	5 9 1 1		
2.	RAUEN PRESIDENT	ROBERT C	0	0	0		
3.	MARTIN RECORDING SECRETARY	CARL C	2 5 8 4	4 6	2 6 3 0		
4.	ROBINSON TREASURER	KENNETH C	1 7 8 4	0	1 7 8 4		
5.	MCGRATH TRUSTEE	ROBERT C	0	2 3	2 3		
6.	MOORE JR. TRUSTEE	JOHN C	0	0	0		
7.	CLARK TRUSTEE	KENNETH C	0	0	0		
8.	Totals from additional pages (if any)		0	0	0		
9.	Totals of Lines 1 through 8		9 8 3 6	5 1 2	1 0 3 4 8		
			10. Less Deductions				
			1 9 9 7				
The Total from Line 11 inItem 45			11. Net Disbursements				
			8 3 5 1				

* Code for Status (C): past officer - P; continuing officer - C; new officer during the reporting period - N.

(If any officer was not elected at a regular election in accordance with your organization's constitution and bylaws, explain in Item 56.)

Enter Amounts in Dollars Only - Do Not Enter Cents

FILE NUMBER: 0 3 3 - 8 4 2

STATEMENT A ASSETS AND LIABILITIES	ASSETS	Start of Reporting Period (A)	End of Reporting Period (B)	LIABILITIES	Start of Reporting Period (C)	End of Reporting Period (D)
	Item			Item		
	25. Cash	7 5 0 3 8	4 1 7 0 1	32. Accounts Payable	0	0
	26. Loans Receivable	0	0	33. Loans Payable	0	0
	27. U.S. Treasury Securities	0	0	34. Mortgages Payable	0	0
	28. Investments	1 0 8 7 9 6	1 0 3 0 5 3	35. Other Liabilities	0	0
	29. Fixed Assets	0	0	36. TOTAL LIABILITIES	0	0
	30. Other Assets	0	0			
	31. TOTAL ASSETS	1 8 3 8 3 4	1 4 4 7 5 4	37. NET ASSETS (Item 31 less Item 36)	1 8 3 8 3 4	1 4 4 7 5 4

STATEMENT B RECEIPTS AND DISBURSEMENTS	CASH RECEIPTS	AMOUNT	CASH DISBURSEMENTS	AMOUNT
	Item		Item	
	38. Dues	8 6 6 1 7	45. To Officers (from Item 24)	8 3 5 1
	39. Per Capita Tax	0	46. To Employees (less deductions)	4 6 9 3
	40. Fees, Fines, Assessments & Work Permits	9 8 9 1	47. Per Capita Tax	2 8 4 1 7
	41. Interest & Dividends	9 7 9 9	48. Office & Administrative Expense	1 2 8 6 9
	42. Sale of Investments & Fixed Assets	7 3 0 5	49. Professional Fees	6 1 4
	43. Other Receipts	1 0 0 1	50. Benefits	3 6 3 0
	44. TOTAL RECEIPTS	1 1 4 6 1 3	51. Contributions, Gifts & Grants	5 7 6 3
<p>If total receipts reported in Item 44 are \$200,000 or more, your organization must file Form LM-2 instead of this form.</p>			52. Purchase of Investments & Fixed Assets	6 2 7 3
			53. Loans Made	0
			54. Other Disbursements	7 7 3 4 0
			55. TOTAL DISBURSEMENTS	1 4 7 9 5 0

ORGANIZATION NAME:
CARPENTERS IND

FILE NUMBER: 0 3 3 - 8 4 2

ENDING DATE OF PERIOD COVERED:
06/30/2002

24. ALL OFFICERS AND DISBURSEMENTS TO OFFICERS *(continued)*

(A) Name <i>(List all persons who held office during the reporting period even if they received no salary or other disbursements. Use all capital letters.)</i>		Gross Salary (before taxes and other deductions) (D)	Allowances and Other Disbursements (E)	Total (F)
Title <i>(Enter title of officer, such as PRESIDENT or TREASURER.)</i>	(C) Status *			
SUTHERLAND VICE - PRESIDENT	JOHN C	0	0	0
GRISE WARDEN	JAMES C	0	0	0
LECUYER CONDUCTOR	ROBERT C	0	0	0

CARPENTERS IND

FILE NUMBER: 0 3 3 - 8 4 2

06/30/2002

56. ADDITIONAL INFORMATION (continued)

12

LOCAL 496 POLITICAL EDUCATION FUND. THIS FUND IS NOT REQUIRED TO FILE REPORTS WITH ANY STATE OR FEDERAL AGENCIES. THE FINANCIAL ACTIVITIES OF THIS FUND ARE REPORTED IN THIS LM-3 FILING.

ORGANIZATION NAME:
CARPENTERS IND

FILE NUMBER: 0 3 3 - 8 4 2

ENDING DATE OF PERIOD COVERED:
06/30/2002

56. ADDITIONAL INFORMATION *(continued)*

Item Number	
13	UNREALIZED LOSS ON INVESTMENTS HELD:
	MUTUAL FUNDS \$ (4,175)
	CORPORATE BONDS (68)
	TOTAL \$ (4,243)

ORGANIZATION NAME:
CARPENTERS IND

FILE NUMBER: 0 3 3 - 8 4 2

ENDING DATE OF PERIOD COVERED:
06/30/2002

56. ADDITIONAL INFORMATION (continued)

Item Number	
14	THOMAS HAVEY LLP

ORGANIZATION NAME:
CARPENTERS IND

FILE NUMBER: 0 3 3 - 8 4 2

ENDING DATE OF PERIOD COVERED:
06/30/2002

56. ADDITIONAL INFORMATION *(continued)*

Item Number	
17	CRAIG BAYSTON - BUSINESS REPRESENTATIVE WAS PAID \$4,660 IN ALLOWANCES AND OTHER EXPENSES FROM LOCAL UNION NO. 496 AND WAS PAID \$82,817 FROM CHICAGO AND NORTHEAST ILLINOIS DISTRICT COUNCIL OF CARPENTERS.

ORGANIZATION NAME:
CARPENTERS IND

FILE NUMBER: 0 3 3 - 8 4 2

ENDING DATE OF PERIOD COVERED:
06/30/2002

56. ADDITIONAL INFORMATION *(continued)*

Item Number	
46	IT IS NOT PRACTICABLE TO MAKE A PRECISE DISTRIBUTION OF AUTOMOBILE OPERATING EXPENSES NOT PAID DIRECTLY TO EMPLOYEES. HOWEVER, AN ALLOCATION OF SUCH EXPENSES HAS BEEN BASED ON ALL AVAILABLE INFORMATION. THE ALLOCATION SHOULD NOT NECESSARILY BE CONSIDERED AS THE ACTUAL BUSINESS USE OF AN AUTOMOBILE.

ORGANIZATION NAME:
CARPENTERS IND

FILE NUMBER: 0 3 3 - 8 4 2

ENDING DATE OF PERIOD COVERED:
06/30/2002

56. ADDITIONAL INFORMATION *(continued)*

Item Number	
54	EFFECTIVE JANUARY 1, 2000, ALL CARPENTER LOCAL BUSINESS REPRESENTATIVES ARE EMPLOYED BY THE CHICAGO AND NORTHEAST ILLINOIS DISTRICT COUNCIL OF CARPENTERS (DISTRICT COUNCIL). THE LOCAL REIMBURSES THE DISTRICT COUNCIL FOR SALARIES, PAYROLL TAXES AND FRINGE BENEFITS. DURING THE YEAR ENDED JUNE 30, 2002, THE LOCAL REIMBURSED THE DISTRICT COUNCIL \$51,982 REPRESENTING EXPENSES PAID BY THE DISTRICT COUNCIL THROUGH DECEMBER 31, 2001. EFFECTIVE JANUARY 1, 2002, REIMBURSEMENTS ARE NO LONGER REQUIRED BY THE DISTRICT COUNCIL.